



DEPARTMENT OF JUSTICE  
GENERAL COUNSEL DIVISION

May 14, 2009

Tim L. Lantz, Registered Agent  
Lantz Electric, Inc.  
34531 HWY 58  
Eugene OR 97405

Re: Violation of Oregon Utility Notification Center Rules  
DOJ File No.: 860-100 GP0048-09 / Docket No.: NC 266

**PLEASE READ ALL DOCUMENTS CAREFULLY –  
DIRECT ALL CORRESPONDENCE TO THE PUBLIC UTILITY COMMISSION OF OREGON**

On April 24, 2009, a representative from your company met with the Oregon Utility Notification Center (OUNC) Enforcement Committee to discuss an alleged rule violation. At that meeting, an agreement was reached regarding penalties for the violation.

Enclosed are two sets of documents that formalize the allegation and the agreement. One set is made up of original documents. The other set is a set of copies for your files.

Please refer to the originals. The complaint simply serves as a formal notice to you of the violation you were charged with and requires that you submit an Answer to the **Public Utility Commission** responding to the allegation. You must serve your Answer on the Commission by mailing it to the Public Utility Commission of Oregon, Administrative Hearings Division, PO Box 2148, Salem, OR 97308-2148. Filing an answer would be your opportunity to admit or deny the allegation and, if denied, to ask for a formal hearing. Because you already reached an agreement with the OUNC Committee, you do not need to submit an answer. Instead, you need only sign and return the enclosed Stipulation in order to answer the Complaint.

The Stipulation sets forth the terms and conditions of the agreement you reached with the committee. **You must sign and return the Stipulation within 20 days of the date of this letter in order for it to be approved by the Public Utility Commission as a resolution to the pending Complaint. You need not make payment until the Commission has issued a final order in this matter.**

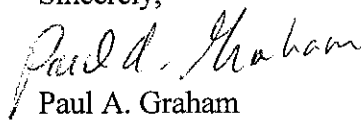
If for some reason you no longer agree to the terms of the Stipulation, you **MUST FILE AN ANSWER TO THE COMPLAINT**, admitting or denying the allegation.

If there is a discrepancy between the formal Stipulation and the agreement reached at the meeting that causes you concern, please contact Michael Thompson at (503) 378-6760.

Otherwise, please return the signed Stipulation to the Public Utility Commission of Oregon at the following address so this matter can be resolved:

Public Utility Commission of Oregon  
Administrative Hearings Division  
550 Capitol St NE – Suite 215  
PO Box 2148  
Salem OR 97308-2148

Sincerely,



Paul A. Graham  
Attorney-in-Charge  
Regulated Utility & Business Section

Enclosures  
PAG/nal/#1399829

1                                   **BEFORE THE PUBLIC UTILITY COMMISSION**  
2   **OF OREGON**

3   NC 266

4 PUBLIC UTILITY COMMISSION OF  
5 OREGON,

6                                   Complainant,

7                                   v.

8 LANTZ ELECTRIC, INC. (an Oregon  
9 corporation),

10                                   Defendant.

COMPLAINT

11   1.

12                   This proceeding is initiated by the Public Utility Commission of Oregon (hereinafter  
13 “Commission”) to determine whether civil monetary penalties should be assessed as provided in  
14 ORS 757.993. The Commission maintains its office at:

15                                   550 Capitol Street, NE – Suite 215, PO Box 2148, Salem, OR 97308-2148.

16   2.

17                   At all times herein relevant, the defendant was doing business in this state.

18   3.

19                   Under ORS 757.993, the Commission has discretion to seek penalties for violations of  
20 rules adopted by the Oregon Utility Notification Center (OUNC).

21   4.

22                   Under ORS 757.552, OUNC has adopted rules that prescribe requirements for  
23 notification to OUNC of excavation activity and marking of underground facilities for the  
24 purpose of preventing damage to such facilities.

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1 5.  
2 OUNC adopted OAR 952-001-0090 which was in effect at all times herein relevant. The  
3 rule provides in relevant part:

- 4 (2) Once underground facilities have been marked, the excavator shall:
- 5 b) Stop excavating in the vicinity of the underground facility and notify the  
6 Oregon Utility Notification Center to have the route re-marked as specified in  
7 these rules **and**, if prior to or during the excavation process, the marking and/or  
8 route of any underground facility is removed or no longer visible; and
  - 9 c) Employ hand tools or other such non-invasive methods to determine the  
10 exact location of the underground facility when excavation is to be made within  
11 the reasonable accuracy zone.

12 6.  
13 On or about January 12, 2009, defendant violated OAR 952-001-0090 in that the  
14 defendant continued excavating without having underground facilities re-marked and failed to  
15 expose marked facilities by hand while performing an excavation.

16 7.  
17 ORS 757.993 provides, in relevant part, that:  
18 “\* \* \* every person who violates or who procures, aids or abets in the violation of  
19 any rule of the Oregon Utility Notification Center shall incur a penalty of not  
20 more than \$1,000 for the first violation and not more than \$5,000 for each  
21 subsequent violation.”

22 8.  
23 Defendant has not violated OUNC rules in the past.

24 9.  
25 WHEREFORE, the Commission directs the defendant to file a verified answer to this  
26 complaint within twenty (20) days from the date this complaint is mailed to the defendant. If no  
verified answer or other written appearance raising a question of fact or law is filed with the  
Commission at its office in Salem, Oregon, within the 20-day period, the allegations of the


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1 complaint shall be deemed admitted, and a penalty shall be imposed in the amount of \$1,000 for  
2 each violation alleged, for a total of \$2,000.

3 DATED this 14<sup>th</sup> day of May, 2009.

4 Respectfully submitted,

5 JOHN R. KROGER  
6 Attorney General

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8 \_\_\_\_\_  
9 Paul A. Graham, OSB #77190  
10 Assistant Attorney General  
11 Of Attorneys for the Public Utility Commission  
12 of Oregon  
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1 **BEFORE THE PUBLIC UTILITY COMMISSION**  
2 **OF OREGON**

3 NC 266

4 PUBLIC UTILITY COMMISSION OF  
5 OREGON,

6 Complainant,

7 v.

8 LANTZ ELECTRIC, INC. (an Oregon  
9 corporation),

10 Defendant.

STIPULATION FOR ENTRY OF FINAL ORDER

11 The Public Utility Commission of Oregon, appearing by and through Paul A. Graham,  
12 Assistant Attorney General, and Lantz Electric, Inc., the defendant herein, hereby stipulate as  
13 follows:

14 1.

15 A Complaint in this case is pending before the Commission charging the defendant with  
16 two violations of law, OAR 952-001-0090.

17 2.

18 Both parties to this proceeding are willing to forego further processing of that Complaint  
19 and further are willing to resolve this matter on the basis of this stipulation.

20 3.

21 The defendant admits that the violations were committed as alleged in the Complaint and  
22 is willing for the Commission to enter an order finding that the violations were committed as  
23 alleged in the Complaint.

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The parties further agree that the Commission may enter an order assessing civil monetary penalties against the defendant in the amount of \$2,000 under the following terms and conditions:

- A. Defendant shall sign and return this stipulation within 20 days of the date it was served upon (mailed to) defendant.
- B. \$400 shall become due and payable on or before the 30<sup>th</sup> day following the Commission's entry of a final order in this case.
- C. Payment shall be by money order or company check made out to the **Public Utility Commission of Oregon**, and the memo line of the money order or company check shall state the "NC" docket number in the caption of this stipulation.
- D. Payment of the balance of the penalties (\$1,600) shall be permanently suspended unless defendant fails to comply with all of the rules adopted by the Oregon Utility Notification Center (OUNC) under ORS 757.552 for a one year period following date of the Commission's entry of a final order.
- E. Defendant shall contact the OUNC Speakers Bureau online at [www.digsafelyoregon.com](http://www.digsafelyoregon.com) or by calling (503) 232-1987 to arrange for and attend a training session on Oregon excavation laws within 45 days following the entry of a final order.
- F. In the event that complainant contends that the defendant has not complied with all OUNC rules for that one year period, complainant may reopen this proceeding and petition for imposition of all or a portion of the suspended penalties. In such case defendant shall be entitled to a hearing and to be notified of the basis upon which complainant contends that compliance has not occurred.

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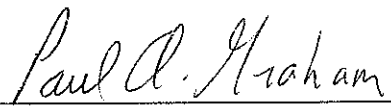
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5.

This stipulation is conditioned upon final approval of its terms by the Commission. If the stipulation is not accepted in its entirety, it shall be deemed withdrawn.

DATED this 14<sup>th</sup> day of May, 2009.

  
\_\_\_\_\_  
Paul A. Graham, OSB #77190  
Assistant Attorney General  
Of Attorneys for the Public Utility Commission  
of Oregon

DATED this \_\_\_\_\_ day of \_\_\_\_\_, 2009.

\_\_\_\_\_  
Representative for Defendant (signature)

\_\_\_\_\_  
(Print name)